

**FILE TITLE/NUMBER/VOLUME:**

201-19:098 (Vol. 1)

**INCLUSIVE DATES:**

1958-63

**CUSTODIAL UNIT/LOCATION:**

**BOE1:**

**DELETIONS, IF ANY:**

### Third Agency Documents

[illegible]

NO DOCUMENTS MAY BE COPIED OR REMOVED FROM THIS FILE.

SUSAN HEILIKMAN FRANK, 201-191098 (Vol 1 of 4)

3rd Agency Documents

- ① Bureau File 100-339235
- ② NY File 100-42479
- ③ Bureau File 100-401762
- ④ NY File 100-107383

FBI NY	11/22/63	RE SUBJECT	NO FILE
FBI NY	11/21/63	" "	" "
FBI Has	10/18/63	RE RICHARD C.	FILE (NI) 100-401762
FBI NY	10/14/63	RE SUBJECT	FILES # 1 + 2
FBI NY	10/8/63	RE RICHARD C.	NO FILE
FBI NY	10/6/63	" " "	" "
FBI NY	10/2/63	" " "	" "
FBI Has	9/23/63	RE SUBJECT	" "
FBI NY	9/18/63	" "	" "
FBI Has	9/17/63	RE RICHARD C.	FILE # (NI) 100-401762
FBI NY	9/12/63	RE SUBJECT	NO FILE
FBI NY	9/12/63	" "	" "
FBI NY	9/12/63	" "	" "
FBI NY	9/10/63	" "	" "
FBI NY	8/30/63	" "	" "
FBI NY	7/2/63	" "	FF 42479
FBI NY	6/26/63	RE RICHARD C.	FILES 3 + 4
FBI NY	7/2/62	" " "	" 3 + 4
FBI NY	7/2/62	" SUBJECT	FILES 1 + 2
FBI NY	6/16/61	RE RICHARD C.	FILES 3 + 4
FBI NY	6/16/61	RE SUBJECT	FILES 1 + 2
FBI NY	11/25/60	" "	" "
FBI NY	6/17/60	RE RICHARD C.	FILES 3 + 4
FBI Has	2/11/60	RE BOTH	FILES 1 + 3
FBI Has	1/26/60	RE SUBJECT	NO FILE
FBI NY	11/25/59	" "	FILES 1 + 2
FBI NY	6/19/59	RE RICHARD C.	FILES 3 + 4
FBI NY	11/26/58	RE SUBJECT	FILES 1 + 2
FBI NY	11/26/58	RE RICHARD C.	FILE # 3
FBI NY	4/25/58	" " "	" 3 + 4
FBI NY	11/7/55	RE SUBJECT	FILES # 1 + 2
FBI NY	11/27/56	" " "	" "
FBI SAN FRAN.	7/14/55	AL FREDERICK FIELD	FILE # 100-2278 (BUREAU)
FBI NY	1/7/55	" " "	" "
FBI NY	2/20/62	RE COMMITTEE FOR A DEMOCRATIC FAR EASTERN POLICY	100-3 01

REF. CODE	DOCUMENT DATE	COCLMENT SYMB. AND NUM.	ABST. TYPE	ORIG. ROUTING	CS COPY FILED IN	SERIAL NUMBER AND/OR SUBJECT
A	/ /		IN			
A	10/07/57	DFB-879C5	IN			
A	10/08/57	CFB-87904	IN			
A	26/11/58	CBF-14398	AO	IP		RICHARD CYRIL FRANK SECURITY MATTER-C
A	30/12/59	ESEA-C8833	IN			
C	12/04/60	CBF-46377	IN	IP	100-008-017	TWO CONTINENTS COMMODITY CORPORATION.
A	02/07/62	CBA-14583	AO	CI		1 SUSAN HEILIGMAN FRANK
A	02/07/62	CBA-14582	IN	CI		1 RICHARD CYRIL FRANK
A	26/06/63	CBA-44692	AO	CI/LS		1 RICHARD CYRIL FRANK SECURITY MATTER-C
A	07/07/63	CBA-45538	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	30/08/63	CBA-52730	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	10/09/63	CBA-50303	AO	CI/LS		1 SUSAN HEILIGMAN FRANK, AKA SUSAN WARREN
A	12/09/63	CBA-50326	AO	CI/LS		1 SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CH
A	12/09/63	CBA-50385	AO	CI/LS		1 SUSAN HEILIGMAN FRANK AKA, SUSAN WARREN
A	12/09/63	CBA-51511	AO	CI/LS		1 SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA
A	17/09/63	CBA-51120	AO	CI/LS		3 RICHARD CYRIL FRANK-INTERNAL SECURITY-CHINA
A	18/09/63	CBA-52729	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	23/09/63	CBA-51738	AO	CI/LS		1 SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CH
A	02/10/63	CBA-52667	AO	CI/LS		1 RICHARD CYRIL FRANK
A	06/10/63	CBA-53125	AO	CI/LS		1 RICHARD CYRIL FRANK
A	08/10/63	CBA-54007	AO	CI/LS		1 SUSAN HEILIGMAN FRANK-INTERNAL SECURITY CHINA
A	14/10/63	CBA-54296	AO	CI/LS		3 RICHARD CYRIL FRANK-INTERNAL SECURITY CHINA
A	19/10/63	CBA-54106	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	21/11/63	CBA-57418	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	22/11/63	CBA-57240	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	27/01/64	CBA-62478	AO	CI/LS		1 YUNG KOON-MAN
A	26/02/64	CBA-65076	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	03/03/64	CBA-65744	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	04/03/64	CBA-65510	AC	CI/LS		1- CAIC
A	05/03/64	CSCI-3780320	AO	IP		1 SUSAN HEILIGMAN FRANK
A	16/03/64	CBA-66277	AO	CI/LS		1 RICHARD CYRIL FRANK
A	18/03/64	CBA-68817	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	19/03/64	CBA-66206	AO	CI/LS		3 SUSAN HEILIGMAN FRANK
A	19/03/64	CBA-65640	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	26/03/64	CBA-66540	AO	CI/LS		1
A	03/04/64	CBA-58370	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	04/05/64	CBA-72041	AO	CI/LS		1 RICHARD CYRIL FRANK
A	20/05/64	CBA-71934	AO	CI/LS		1 RICHARD CYRIL FRANK
A	25/05/64	CBA-72034	AO	CI/LS		1
A	09/06/64	CBA-74309	AO	CI/LS		1-FREDERICK VANDERBILT FIELD
C	11/06/64	CBA-74845	AC	IP	201-0001042	RICHARD CYRIL FRANK WAS 100-500
A	31/07/64	CBA-80360	AO	IP		

\*REF.

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S-E-C-R-E-T

NOTES:

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FILE CONTENT LIST OF FILE NO. 201-0191098

REF CODE	DOCUMENT DATE	DOCUMENT SYMB. AND NUM.	ABST. TYPE	ORIG. ROUTING	CS COPY FILED IN	SERIAL NUMBER AND/OR SUBJECT
A	10/29/64	CBA-82347	AO	CI/LS		1 RICHARD CYRIL FRANK
A	11/09/64	CBA-84176	AO	CI/LS		1 RICHARD CYRIL FRANK
A	22/09/64	CBA-84318	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	24/09/64	CBA-84546	AO	CI/LS		3 SUSAN HEILIGMAN FRANK
A	12/10/64	CSMh-09477	AC	IP		ODENVY REQUEST TO SHOW ULTIMATE SOURCE OF TDCS-314/02323-64 PHOTO OF POSS PBPRIME REP AT THE AUG PRO-CHICOM MEETING IN ALBANIA
A	13/10/64	316-0157864	AO	IP		SUSAN HEILIGMAN FRANK, INTERNAL SECURITY CHINA
A	20/10/64	CBA-88516	AO	IP		RICHARD CYRIL FRANK WAS 201-278559
A	04/11/64	CBA-85646	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	25/11/64	MACR-C2378	AO			
A	02/12/64	316-0233764	AO	IP/FI		SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA WAS 201-019198
C	27/01/65	NYDC-CC394	AC		200-120-087	
A	10/02/65	CBA-98698	AO	CI/LS		1 RICHARD CYRIL FRANK
A	12/02/65	CBA-98227	AO	CI/LS		1 SUSAN HEILIGMAN FRANK
A	09/03/65	UNCW-00325	AO	IP		KOBIRO LCHARVEST
A	19/04/65	CIRW-23914	AO	IP		ODENVY REPORTS ON SUSAN HEILIGMAN FRANK AND RICHARD CYRIL FRANK
A	28/04/65	CIRA-40214	AO	WE/4		ODENVY REPORTS ON SUSAN HEILIGMAN FRANK AND RICHARD CYRIL FRANK WAS 201-040214
C	28/05/65	CBB-C9540	AC	CI/LS	201-0017638	
C	08/06/65	CBB-11297	AC	CI/LS	201-0107029	
A	16/06/65	CBB-11757	AO	CI/LS		0 SUSAN HEILIGMAN FRANK RICHARD C. FRANK
A	25/06/65	CBB-13700	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	28/06/65	CBB-13658	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	01/12/65	CBB-32064	AO	CI/LS		RICHARD CYRIL FRANK
A	14/01/66	D88-3509	AO	CI/LS		SUSAN HEILIGMAN FRANK-IS-CHINA
A	25/01/66	CBB-36631	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	03/02/66	CBB-37188	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	03/02/66	CBB-38170	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	18/02/66	CBB-39888	AC	CI/LS	201-0046209	MORRIS ROBERT WILLS.
A	08/03/66	316-0105966	AO	IP		SUSAN HEILIGMAN FRANK
A	28/03/66	D88-43161	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	29/03/66	CBB-43444	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	07/05/66	CBB-47822	AC	CI/LS	201-0771141	RUTH SHULMAN
C	07/06/66	CBB-50387	AC	CI/LS	201-0107029	IVAN GERALD SHAPIRO
A	27/06/66	CBB-51514	AO	CI/LS		RICHARD CYRIL FRANK
A	19/07/66	CBB-54475	AO	CI/LS		RICHARD CYRIL FRANK
A	14/09/66	CBB-59586	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	29/09/66	CBB-60663	AO	CI/LS		PROGRESSIVE LABOR PARTY /PLP/
A	13/10/66	D88-62355	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	13/12/66	CBB-65707	AO	CI/LS		RICHARD C. FRANK SUSAN H. FRANK
U	17/12/66	PARI-01051	AC		029-002-004	

OFF.

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FILE CONTENT LIST OF FILE NO. 201-C191098

REF CODE*	DOCUMENT DATE	DOCUMENT SYMB. AND NUM.	ABST. TYPE	ORIG. ROUTING	CS COPY FILED IN	SERIAL NUMBER AND/OR SUBJECT
A	13/01/67	CBB-70124✓	AO	CI/LS		RICHARD CYRIL FRANK
A	27/01/67	DBB-71826✓	AO	CI/LS		SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA
C	10/03/67	CBB-76693✓	AC	CI/LS	201-0315837	NORMAN VICTOR SHULMAN
A	33/04/67	CBB-80250	AO	TSCO		TS-195669 1 RICHARD CYRIL FRANK
A	04/08/67	DBB-89841✓	IN	CI/LS		RICHARD CYRIL FRANK INTERNAL SECURITY-CHINA
A	30/11/67	CBC-01102✓	AC	CI/LS		RICHARD CYRIL FRANK
A	01/03/68	DBC-C5794✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	03/04/68	CBC-12127✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	30/04/68	CBC-17078✓	AC	C10P/D	201-0728979	MAUD MURTEL RUSSELL
C	04/06/68	CBC-12016✓	IN	TSCO	016-005-013	TS-197328 CHINESE COMMUNIST INTELL BIO DATA ALBUM
A	12/06/68	CBC-18988✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	01/07/68	CBC-20859✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	23/07/68	CBC-22931✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	01/08/68	CBC-24440✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	06/08/68	CBC-25112✓	AO	CI/LS		RICHARD CYRIL FRANK
A	19/02/69	CBC-44884✓	AO	CI/LS		SUSAN HEILIGMAN FRANK, RICHARD CYRIL FRANK
A	28/03/69	DBC-50149✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	23/08/69	CBC-60587✓	AO	CI/LS		RICHARD CYRIL FRANK
A	03/10/69	CBC-64586✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	16/04/70	CBC-73990✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
A	27/08/70	CBC-91571✓	AO	CI/LS		SUSAN HEILIGMAN FRANK INTERNAL SECURITY-CHINA
A	21/09/70	DBC-94819✓	AO	CI/LS		RICHARD CYRIL FRANK
A	17/11/70	CBC-99426✓	AC	CI/LS		SUSAN HEILIGMAN FRANK
A	09/09/71	DBD-22103✓	AO	CI/LS		SUSAN HEILIGMAN FRANK
C	23/09/71	DBD-22955✓	AC	TSCO	201-0208523	
A	08/10/71	CBC-23871✓	AO	CI/LS		RICHARD CYRIL FRANK
A	14/12/71	CCOA-16515✓	AC	CH/SECT		KOBIRO/CO SHABOVE-CONTACT WITH CHINESE EMBASSY OFFICIALS IN CANADA
A	22/12/71	DBC-29006✓	AC	CI/LS		RICHARD CYRIL FRANK
R	14/01/72	CCOA-16612✓	AC	CH/SECT	014-008-008	KOBIRO/CO SHABOVE-SUSAN WARREN
A	21/01/72	CBC-30450✓	AC	CI/LS		SUSAN HEILIGMAN FRANK
C	17/03/72	CBD-33673✓	IN	CI/LS	201-0000993	TANG MING-CHAO /201-000993/
C	11/04/72	DBD-22961✓	AC	TSCO	201-0208523	
A	28/04/72	DBC-36558✓	AC	CI/LS		FRANK, RICHARD C
A	05/09/72	DBC-42084✓	AO	CI/LS		FRANK, SUSAN HEILIGMAN
A	16/11/72	CBD-46438✓	AC	CI/LS		FRANK, SUSAN HEILIGMAN
A	21/02/73	CBD-51366✓	AO	CI/LS		FRANK, SUSAN HEILIGMAN
A	08/06/73	CBD-57181✓	AO	CI/LS		FRANK, SUSAN HEILIGMAN NEE WARREN
C	20/07/73	DBD-60693✓	IN	OPS/LSN	100-300-091	COMMITTEE FOR UNIFICATION OF CHIN, AKA AD HOC COMMITTEE FOR PEACEFUL UNIFICATION OF CHIN 722 N. CAROLINA S.E., WASH. DC.
C	10/08/73	CCOA-17926✓	IN	CH/OCCR	016-120-041/01	THE WASHINGTON AND BALTIMORE COMMITTEE FOR UNIFICATION OF CHIN, COMMITTEE FOR CHIN REUNIFICATION
						CONTACTS WITH PRC OFFICIALS IN CANADA

\*REF. CODE:  
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REF. CODE	DOCUMENT DATE	DOCUMENT SYMB. AND NUM.	ABST. ORIG. TYPE	ROUTING FILED IN	SERIAL NUMBER AND/OR SUBJECT
C	14/08/73	CC04-17933	IN	IP/FI	016-120-041/01 CONTACTS WITH PRC OFFICIALS IN CANADA
A	12/09/73	DBD-61748	AO	OPS/ITG	FRANK, SUSAN HEILIGHAN

ABSTRACTS LISTED = 122

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E2 IMPDET CL BY 011981

C-O-N-F-I-D-E-N-T-I-A-L  
When Filled In

SECRET

15 JAN 1976  
(date)

Review of 201 File on U.S. Citizen

In accordance with the DDO's notice of 9 December 1974, I have reviewed the 201 file on FRANK, SUSAN (surname) (201 number indicated below), and have determined that it can most accurately be categorized as indicated below:

- ☐ should be closed.
- ☐ witting collaborator. OI Code A1.
- ☐ potential witting collaborator; date opened \_\_\_\_\_  
OI Code A2.
- ☐ former witting collaborator (relationship terminated).  
OI Code A3.
- ☐ potential witting collaborator never contacted (security reasons, derogatory information). OI Code A4.
- ☐ counterintelligence case (i.e., involving a foreign intelligence or security service). OI Code A5.
- ☒ all others. OI Code A6.

Signed M C Hart  
(name)

(title)

OPS/ITG  
(component)

This assignment of category has been entered into STAR.

Signed me  
(initials)

19 JAN 1976  
(date)

197

This document is a permanent part of this file.

SECRET

When Filled In  
C-O-N-F-I-D-E-N-T-I-A-L

201-191098

E2 IMPDET  
CL BY 034979



☐ UNCLASSIFIED☐ INTERNAL  
USE ONLY☐ CONFIDENTIAL☒ SECRET

## ROUTING AND RECORD SHEET

SUBJECT: (Optional)

FROM:

SAS/CI/LDemos

GH43

6471

EXTENSION

NO.

DATE

3 March 1964

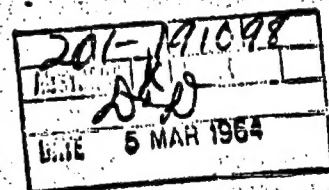
TO: (Officer designation, room number, and building)

DATE

OFFICER'S  
INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

1.	TO:	DATE		OFFICER'S INITIALS	COMMENTS
		RECEIVED	FORWARDED		
1.	C/BAS/CI	3 Mar		uk	
2.					
3.	CI/LIAISON	4 MAR 1964		rm	
4.					
5.	RIDIAN			Am	
6.	RID/MIS			RP	
7.	RID/CI				
8.					
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12.					
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16.					

FORM  
9-61610 USE PREVIOUS  
EDITIONS☒ SECRET☐ CONFIDENTIAL☐ INTERNAL  
USE ONLY☐ UNCLASSIFIED



SECRET

5 MAR 1964

**TO :** Director  
Federal Bureau of Investigation  
Attention: Mr. S. J. Papich

**FROM :** Deputy Director for Plans

**SUBJECT :** I. CAIC

1. Reference is made to the Bureau's memorandum (NI) 100-401762 of 18 October 1963, subject: Richard Cyril FRANK, page 3 of the attachment.

2. The records of this Agency reveal no identifiable information on I. CAIC.

CSCI-3/780,320

3 March 1964

SAS/CI/LDSmos:pap

Distribution:

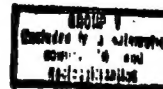
Orig. & 1 - Addressee

- 1 - RID
- 1 - CI/LIA
- 1 - CI/R&A
- 1 - SAS/R
- 2 - SAS/CI

Based On: DBA-54106  
DBA-54007  
18 Oct 63

File No.: 201-191098

SECRET



201-191098

## ROUTING AND RECORD SHEET

FROM:				COMMENTS	
Eugene J. Welch - EE/Switzerland					
TO	ROOM NO.	DATE	RECEIVED	FORWARDED	OFFICER'S INITIALS
1. C/EE/S	25	MAY 1960			(Signature)
2.					
3.					
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7.					
8.					
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14. RID/DP					
15. EE/S					
16. RI/AM RI/FI					
17.					
COORDINATING OFFICERS			AUTHENTICATING OFFICER		
NAME	OFFICE	NAME	TITLE		
		Eugene J. Welch	EE/S		
			RELINQUISHING OFFICER		
			NAME	TITLE	
			Robert W. Crowell	C/EE/S	
ENCLOSURE		ABSTRACT	RID USER ONLY		
		INDEX	FILE NUMBER		
			801-191098		
DATE MICROFILMED		DOCUMENT DATE		DOCUMENT NUMBER	
		25 MAY 1960		EJW 8468	

PERMANENT CHARGE  
FOR YOUR RETENTION

RECORD COPY

FRANK, Susan

<b>DISPATCH</b>		CLASSIFICATION <b>SECRET</b>	DISPATCH SYMBOL AND NO <b>ESW 8468</b>
TO Chief of Station, Bern	HEADQUARTERS FILE NO. <b>201-191098</b>		
FROM Chief, EE	DATE <b>25 MAY 1960</b>		
SUBJECT <b>General - Operational/INR/LCHARVEFF Specific - Susan and Richard FRANK</b>		<input type="checkbox"/> BE "OT" - (CHECK IF ONE) <input type="checkbox"/> MARKED FOR INDEXING <input checked="" type="checkbox"/> NO INDEXING REQUIRED <input type="checkbox"/> INDEXING CAN BE JUDGED BY QUALIFIED HQ DESK ONLY	
ACTION REQUIRED			

REF ID: A66544  
 ESW 8273 dated 8 February 1960 (Information on FRANK travel plans plus background info)

1. The ODENVY approval for passage of information on Subjects [redacted] was received in February, but, apparently through an inadvertence, was retained as inordinately long time in KUDOSK. It has just now reached our hands.

2. ODENVY has approved the following for passage to the [redacted]

"Susan FRANK, nee Neiligan, wife of Richard C. FRANK of New York City, has for several years been active in Communist front organizations in the United States, has taught courses in such schools as the Jefferson School of Social Science, which appears on the Attorney General's list, and her writings have appeared in such magazines as "Political Affairs", which has been listed in the "Guide to Subversive Organizations and Publications", and described as an official Communist Party theoretical organ. Susan FRANK appeared before the House Committee on Un-American Activities on 22 July 1959, and declined under "Privileges under the Fifth Amendment" to answer the Committee's questions as to whether or not she was a member of the Communist Party, had written an article which appeared in the November 1949 issue of "The Worker" entitled "No Peace for the Chinese People", had ever lectured on Chinese culture and Chinese civilization and had been an instructor at the Faculty of Social Sciences. Susan FRANK had used the name Susan Warren in her lectures and writings, most of which indicate her interest in Chinese affairs.

"Richard C. FRANK, born 22 August 1922, has described his occupation as a free lance moving picture assistant director. He is known to have received Communist literature, is believed to have made financial contributions to the Communist Party in the U.S., and there is information to indicate Communist Party membership on his part as late as 1957."

3. ODENVY states that they have referred the case of the FRANKS to the Legal Attaches in London, Paris and Tokyo to alert appropriate security

CS COPY

201-191098

**WILLIAM L. FOWLER**

1 - RI

1998



SECRET

FORM 1-64

## ROUTING AND RECORD SHEET

FROM: James B. Burson - EE/Switzerland					COMMENTS
TO	ROOM NO.	DATE		OFFICER'S INITIALS	
		RECEIVED	FORWARDED		
1. C/EE/S	FEB 1960	4	FEB 1960	JP	Please Coordinate
2.					
3. FE/CA/PERCE	FEB 1960		5 FEB 1960	(L)	
4. CFE/CA					
5. FE/CCO RI/EP		18	FEB 1960	JP	
6.					
7.					
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9.					
10.					
11.					
12.					
13.					
14.					
15.					
16. RI/AN RI/PI				JL	
17.					
18.					

RECORD COPY

COORDINATING OFFICERS		AUTHENTICATING OFFICER	
NAME	OFFICE	NAME	TITLE
James B. Burson	FE/CA/PERCE	James B. Burson	EE/S
		RELEASING OFFICER	
		NAME	TITLE
		Robert M. Crowell	C/EE/S

ENCLOSURE	ABSTRACT	FILE NUMBER 801-191096
	INDEX	
DATE MICROFILMED		DOCUMENT DATE 8 FEB 1960
		DOCUMENT NUMBER BBW 8273

# DISPATCH

SECRET

ESW 8273

TO Chief of Station, Bern

HEADQUARTERS FILE NO

201-191098

FROM Chief, BE

DATE 8 FEB 1960

SUBJECT General - Operational/LCHARVEST  
Specific - Susan and Richard Frank

RE: "433" - (CHECK "X" ONE)

MARKED FOR INDEXING

☒ NO INDEXING REQUIRED

ACTION REQUIRED

INDEXING CAN BE JUDGED  
BY QUALIFIED HQ. DESK ONLY

FORM 8833

MEMO 8833 dated 30 December 1959

1. The information provided in reference was most timely in its arrival. Shortly after we had received it, ODEWY informed us that the Frank couple was traveling abroad, and that in view of Susan Frank's interest in Far Eastern affairs and Chinese history it was not unlikely she and her husband would attempt to travel to China before returning to the U.S. ODEWY asked for any information we might receive which would indicate Subjects had traveled to China. We have passed the gist of the information in reference to ODEWY. We shall appreciate receiving any additional information on the activities of Subjects which you may be able to uncover utilizing liaison as you see fit. FYI: Susan Frank has indicated she does not expect to return to the States until August or September, and most likely the latter month.

2. The material in Headquarters' file on the Franks, all of which was supplied by ODEWY, indicates they are active members of the Communist Party in the U.S. Upon receipt of ODEWY's approval to our request to pass a summary of this material to our official source of reference we shall forward the summary to you for passage to the [redacted] Meanwhile, the following, most of which was supplied by informants rated as having provided reliable information in the past, is forwarded for your information:

a. Susan Frank, nee Heilgmann, born 8 November 1913 of Russian parentage, resides with her second husband, Richard Cyril Frank, at 110 Christopher Street, New York City. There are reports as early as 1942 providing information on Susan Frank's activities in behalf of Communism, all of which refer to her as Susan or Sue Warren, the alias she has commonly used in her Communist activities and in articles she has written. One example of her activities in 1942 refers to an application for a permit to operate a public address system at street meetings in New York City. The application bore the signature of Sue Warren, and listed her as Chairman of the Communist Party at 132 East 26th Street, New York City. Since 1942 there have been numerous informant reports of Sue Warren's active participation in Communist affairs in the U.S., the most recent describing her as a member of the Greenwich Village Section, NY County CP as of October 1959. Frank has given her present occupation as writer and lecturer, and has taught at the Faculty of Social Sciences and Jefferson School of Social Sciences, conducting courses entitled, "The New China", "The Chinese Communism", "World of Socialism", "On the Correct Handling of Contradictions (Ho)", etc. The Faculty of Social Sciences was apparently established by Max Weiss, who was referred to in the April 1956 edition of the "Daily Worker" as "National Educational Director, Communist Party". The Jefferson School of Social Sciences has been designated by the Attorney General.

CS COPY

201-191098

On 22 July 1959 Susan Frank appeared before the House Committee on Un-American Activities, and refused under provisions of the Fifth Amendment to answer questions as to whether she had ever lectured on Chinese culture and Chinese civilization, had been an instructor at the Jefferson School of Social Sciences, was the author of an article in the 13 November 1949 issue of "The Worker" entitled, "No Peace for the Chinese People", and was "at this time" a member of the CP.

b. Richard Cyril Frank was born 22 August 1922 in Rochester, New York. He has given his profession as film technician and has worked in various directing and production jobs in television. Indications of Richard Frank's CP membership and activities in behalf of the CP are:

1. In June 1956 Sue Warren (Frank) stated at a Greenwich Village CP meeting that she could not contribute the \$75.00 she had previously pledged because her husband (Richard Frank) had given \$100.00 in "his club", and they had planned to contribute together only \$150.00.

2. Frank was reported to have been seen on 1 February 1954 at a meeting of the New York Council of Arts, Sciences and Professions, which has been cited as a Communist front organization.

3. Frank has written letters in behalf of persons on trial for their Communist activities, has provided storage in the basement of his residence for cartons containing volumes of Communist literature, has received through the mail CP literature from Czechoslovakia, and has been a recipient through the mail of the "Daily Worker".

4. He has refused to speak to agents of ODENVI about his activities.

**SECTION C. COMMENT****3 February 1960****Distribution:**

- 3 - GOS, Barn
- 2 - EE/S
- 1 - RI ✓
- 1 - FE/CA/FECE

**CS COPY****RBNW 8273**

2 FEB 1960

TO: Director  
Federal Bureau of Investigation  
Attention: Mr. S. J. Papich

FROM: Deputy Director (Plans)

SUBJECT: Susan and Richard Frank

1. In response to your 22 December communication (File No: 100-339235, 100-401762) we wish to inform you that we have received the following from an official Swiss source:

"Richard Frank (born 1922), U.S. citizen, film technician, resident of New York, arrived at the Hotel Savoy in Bern with his wife Susan on 10 December 1959; they stayed there until 12 December leaving for Zurich. Frank inquired for a flight ticket to Prague from Zurich. While in Bern, Frank had contact with the Chinese Communist Embassy on two occasions; he or Mrs. Frank having called at the Embassy. No information is available on the nature of these contacts, nor do we have more detailed personnelia."

2. We have observed that persons desiring to travel to Communist China from Switzerland frequently fly via Prague.

3. The following summary has been drawn from material which you have provided us on Subjects (see NY 100-43479, NY 100-107383 and Bureau 100-339235). Please advise if we may pass this summary to our Swiss source, but without revealing that your organization supplied the information contained in it:

SECRET



Susan Frank, nee Halligman, wife of Richard C. Frank of New York City, has for several years been active in Communist front organizations in the United States, has taught courses in such schools as the Jefferson School of Social Science, which appears on the Attorney General's list, and her writings have appeared in such magazines as, "Political Affairs", which has been listed in the "Guide to Subversive Organizations and Publications", and described as an official Communist Party theoretical organ. Susan Frank appeared before the House Committee on Un-American Activities on 22 July 1959, and declined under "Privileges under the Fifth Amendment" to answer the Committee's questions as to whether or not she was a member of the Communist Party, had written an article which appeared in the November 1949 issue of "The Worker" entitled, "No Peace for the Chinese People", had ever lectured on Chinese culture and Chinese civilization, and had been an instructor at the Faculty of Social Sciences. Susan Frank has used the name Susan Warren in her lectures and writings most of which indicate her interest in Chinese affairs.

Richard C. Frank, born 22 August 1922, has described his occupation as a free lance moving picture assistant director. He is known to have received Communist literature, is believed to have made financial contributions to the Communist Party in the U.S., and there is evidence to indicate he is a member of this party.

OSCI-2/758,AAA

Distribution:

Orig SP1 - Addressee

- 1 - ~~OSCI~~ /TID
- 1 - CI/LS
- 1 - CI/BAA
- 2 - EE/S

EE/S:James B. Burson:jbs:26 January 1960

File 201- Frank, Susan

SECRET

<b>DISPATCH</b>		CLASSIFICATION S-E-C-R-E-T	DISPATCH SYMBOL AND NO. ESDA-8333
TO XXX VIA	Chief, FE Chief, EE		HEADQUARTERS FILE NO. 68-8-8 <b>1P</b>
FROM	Chief of Station, Bern <i>TR</i>	DATE	30 December 1959
SUBJECT	LCLARVEST/Operations /FRANK, Richard (201- ) (DOB 1922)	RE: "433" - (CHECK "X" ONE)	
ACTION REQUIRED	Trace request	<input checked="" type="checkbox"/> MARKED FOR INDEXING	
		<input type="checkbox"/> NO INDEXING REQUIRED	
		<input type="checkbox"/> INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY	

REFERENCE(S)

1. The following report, dated 24 December 1959, was given to us

*Trace Request C.I.T. USA*  
 Richard \*FRANK (born 1922) U.S. citizen, film technician, resident of New York, arrived at the Hotel Savoy in Bern with his wife Susan on 10 December 1959; they stayed there until 12 December, leaving for Zurich. FRANK inquired for a flight ticket to Prague from Zurich. While in Bern, FRANK had contact with the Chinese Communist Embassy on two occasions; he or Mrs. FRANK having called at the Embassy. No information is available on the nature of these contacts, nor do we have more detailed personalia.

*AN.Y., N.Y., USA*  
*Subject in the*  
*husband of*  
*Heilighen*  
*Susan*  
*Frank*  
*(201-191098)*

2. We would appreciate any available traces in Headquarters' files on FRANK.

MICROFILMED

AUG 13 1963

HILDA G. VAGIONAS

30 December 1959

Distributions:

2 - FE  
 2 - EE  
 2 - Bern

**INDEX**  
 CS COPY

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ESDA-8333

FORM 10 OF 62 (40)

USE PREVIOUS EDITIONS IN PLACE OF FORMS 10 OF 62 AND 10 OF 62 WHICH ARE OBSOLETE.

CLASSIFICATION

S-E-C-R-E-T

☐ CONTINUED

PAGE NO.

*201-191098*  
*25-500*

**SECRET**

**201 PERSONALITY FILE ACTION REQUEST**

NOTE: SEE INSTRUCTIONS ON REVERSE SIDE TYPE OR PRINT

TO: RID/201 Section		Room GA-20		Tube Address DW-6		DATE							
FROM: Name		OFFICE		ROOM		PHONE		TUBE ADDRESS					
1. TYPE ACTION		OPEN AMEND CLOSE		2. FILE RESTRICTED		YES NO		3. PERMANENT CHARGE		YES NO			
4. TRUE NAME (Last) (First) (Middle)						5. 201 NO.							
6. NAME VARIANTS AND TELECODES (see Instructions, item 6)													
TYPE (Last) (First) (Middle)													
7. SEX #1 8. DATE OF BIRTH #1 9. COUNTRY OF BIRTH #1 10. CITY/TOWN OF BIRTH #1													
11. CITIZENSHIP #1				12. OCCUPATION (clear text) #1				OCC. CODE #1		13. SVL #1		14. 01 CODE #1	
15. DOCUMENT SYMBOL & NUMBER #1				DATE #1				16. RESIDENCE #1					
17. COMMENTS (text for Index cards)													
18. ADDITIONAL NAME VARIANTS													
19. COUNTRY OF LOCATION CODE #1 20. ACTION DESK CODE #1 21. SECONDARY DESK CODE #1													
22. INFORMATION ADDRESSEES													
23. CRYPTONYM													
24. SIGNATURE OF REQUESTER													
25. NO.													

FORM 6-58 681 OBSOLETE PREVIOUS EDITIONS

**SECRET**

**EXCLUDED**  
Excluded from automatic  
downgrading and declassification

( 20-01 )

**SECRET**

## 201 PERSONALITY FILE ACTION REQUEST

**NOTE: SEE INSTRUCTIONS ON REVERSE SIDE TYPE OR PRINT**

TO: RID/201 Section Room GA-20 Tube Address DW-6						DATE							
FROM: Name						OFFICE		ROOM		PHONE		TUBE ADDRESS	
1. TYPE ACTION		OPEN AMEND CLOSE		2. FILE RESTRICTED		YES NO		3. PERMANENT CHARGE		YES NO			
4. TRUE NAME (Last) (First) (Middle)								5. ID NO.					
FRANK SWAN Holloway								79-14033					
6. NAME VARIANTS AND TELECODES (see Instructions, Item 6)													
TYPE (Last) (First) (Middle)													
A #2 FRANK E. Swann (D.F.B.)													
A #2 FRANKBERG, Mildred M.													
A #2 FRANKBERG, Louis (Mrs.)													
#2													
#2													
#2													
#2													
7. SEX F M 8. DATE OF BIRTH P1													
M P													
9. COUNTRY OF BIRTH 01 10. CITY/TOWN OF BIRTH													
11. CITIZENSHIP #1 12. OCCUPATION (clear text) OCC. CODE 13. SWL 14. CI CODE													
15. DOCUMENT SYMBOL & NUMBER 01 DATE 16 RESIDENCE 01													
17. COMMENTS (text for Index cards)													
18. COUNTRY OF LOCATION CODE 19. ACTION DESK CODE 20. SECONDARY DESK CODE													
21. INFORMATION ADDRESSES													
22. CRYPTONYM													
HAND CARRY OR FORWARD BY TUBE IF TRUE NAME APPEARS ABOVE.													
23. SIGNATURE OF REQUESTER													
24. NO.													

FORM 831 REEOLSTE PREVIOUS EDITIONS

**SECRET**

Excluded from automatic  
downgrading and declassification

(00-01)



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**SECRET**

(When Filled In)

**PERSONALITY (201) FILE REQUEST**

<b>TO</b> RI/ANALYSIS SECTION		<b>DATE</b> 27-4-1959		<b>ACTION</b>	
<b>FROM</b> RID/201		<b>OPEN</b>	<input checked="" type="checkbox"/> <b>AMEND</b>	<b>CLOSE</b>	
		<b>ROOM NO.</b> 1028.2	<b>TELEPHONE</b> 2231		

**INSTRUCTIONS:** Form must be typed or printed in block letters.

**SECTION I:** List 201 number, name and identifying data in the spaces provided. All known aliases and variants (including maiden name, if applicable) must be listed. If the identifying data varies with the alias used, a separate form must be used. Write UNKNOWN for items you are unable to complete.

**SECTION II:** List cryptonym or pseudonym, if assigned. If true name is sensitive, obtain 201 number from 201 Control Desk and complete Section I and Section III. On a separate form, enter the 201 number and complete Section II and Section III. Submit each form separately.

**SECTION III:** To be completed in all cases.

<b>SECTION I</b>					
<input checked="" type="checkbox"/> <b>SENSITIVE</b>		<b>201 NO.</b> 191098		<b>SECRET DOCUMENT</b> DBF-33269	
<input checked="" type="checkbox"/> <b>NONSENSITIVE</b>					
<b>NAME (Last)</b> FRANK, RICHARD		<b>NAME (First)</b> CYRIL		<b>NAME (Middle)</b> (MRS)	
<b>NAME VARIANT</b>					
<b>NAME (Last)</b> WARREN, SUE		<b>NAME (First)</b> UPON		<b>NAME (Middle)</b>	
<b>NAME (Last)</b> FRANK, SUSAN		<b>NAME (First)</b> HEILIG		<b>NAME (Middle)</b> MANNING	
<b>DESTROYED BY MACHINE LISTING</b>					
<b>PHOTO</b> 4. YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>		<b>BIRTH DATE</b> 5. 05-11-13		<b>COUNTRY OF BIRTH</b> 6. USA	
<b>OCCUPATION/POSITION</b> HOUSEWIFE		<b>CITY OR TOWN OF BIRTH</b> 7. MANHATTAN, NYC		<b>OTHER IDENTIFICATION</b> 8. 1. 58 2. 3.	
				<b>OCC/POS. CODE</b> 9.	

<b>SECTION II</b>	
<b>CRYPTONYM</b>	<b>PSEUDONYM</b>

<b>SECTION III</b>		
<b>COUNTRY OF RESIDENCE</b> 10. USA	<b>ACTION DESK</b> 11. CI/ICD	<b>THIRD COUNTRY INTEREST</b> 12.
<b>COMMENTS:</b> Cit: USA. Husband: FRANK, RICHARD CYRIL DOB 22/08/22 POB: Rochester, N.Y.		

<b>COMMUNIST PARTY MEMBER</b> YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>		<b>US COPY</b>		<b>201- 191098</b>	
<b>PERMANENT CHARGE</b> YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>		<b>RESTRICTED FILE</b> YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>		<b>SIGNATURE</b> [Signature]	

Form No. 631 Use previous editions.

**SECRET**  
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(88)

## Form No. 831 Use previous editions.

**SECRET**  
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**PUNCHED**

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**SECRET**  
(When Filled In)

PERSONALITY (201) FILE REQUEST										RE PUNCH	
TO RI/ANALYSIS SECTION					DATE 13-03-59		ACTION <input checked="" type="checkbox"/> OPEN <input type="checkbox"/> AMEND <input type="checkbox"/> CLOSE				
FROM R10/201					ROOM NO 1619 L		TELEPHONE 2677				
<p><b>INSTRUCTIONS:</b> Form must be typed or printed in block letters.</p> <p><b>SECTION I:</b> List 201 number, name and identifying data in the spaces provided. All known aliases and variants (including maiden name, if applicable) must be listed. If the identifying data varies with the alias used, a separate form must be used. Write UNKNOWN for items you are unable to complete.</p> <p><b>SECTION II:</b> List cryptonym or pseudonym, if assigned. If true name is sensitive, obtain 201 number from 201 Control Desk and complete Section I and Section III. On a separate form, enter the 201 number and complete Section II and Section III. Submit each form separately.</p> <p><b>SECTION III:</b> To be completed in all cases.</p>											
<b>SECTION I</b>											
201 NO.		SOURCE DOCUMENT									
191098		DBF-19295									
NAME (Last)		(First)		(Middle)		(Title)		SEX		3.	
FRANK,		SUSAN		HEILIGMAN							
NAME VARIANT											
TYPE NAME		(Last)		(First)		(Middle)		(Title)			
✓ Y		BREITBERG,		MILDRED H.							
✓ Y		BREITBERG,		LOUIS /MRS./							
✓ Y		FRANK,		RICHARD C. /MRS./							
✓ Y		KANE,		ANNA MAY							
✓ Y		WARREN,		SUSAN M.							
✓ Y		WARREN,		BRETT /MRS./							
PHOTO		BIRTH DATE		COUNTRY OF BIRTH		CITY OR TOWN OF BIRTH		OTHER IDENTIFICATION		8.	
YES		NO		08-11-13		USA		MANHATTAN, NYC.		1. CLY 2. 7	
OCCUPATION/POSITION										OCC/FOS CODE	
Housewife											
<b>SECTION II</b>											
CRYPTONYM						PSEUDONYM					
<b>SECTION III</b>											
COUNTRY OF RESIDENCE				ACTION DESK		SECOND COUNTRY INTEREST		THIRD COUNTRY INTEREST			
USA				CI/ICD							
COMMENTS:											
CIT: USA In contact with Communist party members in 1958 Residence Nov. 1958, 110 Christopher STREET CS COPY 201-191098											
PERMANENT CHARGE				RESTRICTED FILE				SIGNATURE			
YES				NO				RMR			

Form No. 631 Use previous editions.

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**VERIFIED**

(50)

## Form No. 831 Use previous editions.

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